CHANGE REQUEST COVER SHEET

Change Request Number: 13-01 Date Received: 10/11/2012

Title: Congressional Affairs Notice

Name: Eugene Scott

Phone: 202-493-4639

Policy OR Guidance: Guidance

Section/Text Location Affected: T3.13.1

Summary of Change: The change adds the Office of Communications (AOC-1) to the list of courtesy copy

recipients.

Reason for Change: To ensure that the Office of Communications (AOC-1) is made aware of contract actions that

require congressional notice

Development, Review, and/or Concurrence: AAP-100, AGC-500, AAQ Division contracts managers,

Aeronautical Center contracting

Target Audience: contracting officers

Potential Links within FAST for the Change: none

Briefing Planned: No

ASAG Responsibilities: Approve

Potential Links within FAST for the Change: none

Links for New/Modified Forms (or) Documents (LINK 1)

Links for New/Modified Forms (or) Documents (LINK 2)

Links for New/Modified Forms (or) Documents (LINK 3)

SECTIONS EDITED:

Procurement Guidance:

T3.13.1 Other Administrative Procedures

Administrative Matters

Section 3 : Congressional Affairs Notification [Old Content] [New Content] [RedLine Content]

SECTIONS EDITED:

Section 3: Congressional Affairs Notification

Old Content: <u>Procurement Guidance</u>:

T3.13.1 Other Administrative Procedures

Administrative Matters

Section 3 : Congressional Affairs Notification

- a. The following require official notification to Congress *before* releasing an award and distributing the contractual instrument:
 - (1) *New Awards*. Congress must be notified at least 48 hours, excluding Federal holidays and weekends, before awarding any contract or other agreement of \$3.5 million or more (total value including all options or ceilings), excluding interagency agreements. The DOT Assistant Secretary for Government Affairs (I-1) or designee notifies Congress, and advises the Contracting Officer (CO) that the contract or agreement may be released. The CO may sign the contract or agreement, but information about the award must not be released outside of DOT until completing the Congressional notification procedures in this section.
 - (2) *Modifications and Delivery/Task Orders*. Notification is not required for modifications and delivery/task orders of \$3.5 million or more if Congress was notified about the initial award; otherwise, Congressional notification is required.
- b. Notification must be made via form DOT F 4220.41, "Contract Award Notification," to the DOT Assistant Secretary for Government Affairs (I-1), with concurrent courtesy copies to:
 - (1) FAA Office of Government and Industry Affairs (AGI-1);
 - (2) FAA Acquisition Executive (ATO-A); and
 - (3) Chief Operating Officer (if ATO is the requiring organization) or Associate/Assistant Administrator (if other than ATO is the requiring organization), through the CO's management.
- c. The CO must complete all blocks of form DOT F 4220.41 and send it to the Assistant Secretary for Government Affairs (I-1), via email or fax at (202) 366-7346. Block 9 of the form may be revised to show an applicable AMS procurement method. The CO is responsible for documenting the date I-1 received the form; to confirm receipt, the CO may call (202) 366-4573.

- d. Unless I-1 or designee requests the CO or other designated official not to proceed with award, awards may be announced on the **third** working day following I-1's receipt of DOT F 4220.41.
- e. The official contract file must include a copy of form DOT F 4220.41 and documentation of I-1's receipt of the form.

New Content: <u>Procurement Guidance</u>:

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Red Line Content: Procurement Guidance:

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